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Date: January 13, 2010 Name: Scott A. Timmerman (Reg. No. 55,678) Signature: /Scott A. Timmerman/

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

James Farrell et al.

Serial No: 10/713,126

Filed: Nov. 14, 2003

For: SYSTEM AND METHOD FOR  
CONTROLLING MARKETS DURING  
A STOP LOSS TRIGGER

Attorney Docket No.: 4672/310

Examiner: Weis, Samuel

Group Art Unit: 3693

Confirmation No.: 9585

**AMENDMENT & REMARKS**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated October 13, 2009, Applicants' respectfully request consideration of the following remarks in view of the attached listing of claims: